

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION**

UNITED STATES OF AMERICA,

Plaintiff,

v.

ROBERT T. BROCKMAN,

Defendant.

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§ Cr. No. 4:21cr 009 GCH
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UNITED STATES' EXHIBIT LIST FOR COMPETENCY HEARING

Exhibit No.	Description	Identifier
1	Forensic Neuropsychological Report, Dr. Robert Denney	DBD-0000127 to DBD-0000164
2	Dr. Denney Supplemental Report	
3	Dietz/Denney Exam Video- Day 1	
4	Dietz/Denney Exam Video- Day 3	
5	Dietz/Denney Exam Video Transcripts Days 1 & 3	
6	Letter Report, Dr. Maria Ponisio re 3/12/2021 PET Scan	DMP-000007 to DMP-0000011
7	Letter Report, Dr. Maria Ponisio re 7/28/2021 PET Scan	DMP-0000001 to DMP-0000006
8	Letter Report, Dr. Maria Ponisio re 8/24/2021 PET Scan	DMP-0000012 to DMP-0000016
9	Evatt Tamine Performance Review, 2/5/2010	ET_0000019742 to ET_0000019745
10	Email Exchange, Robert Brockman and Evatt Tamine, 9/2/2010	ET_0000044709 to ET_0000044710
11	Email from Brockman to Tamine,	ET_0000058894

Exhibit No.	Description	Identifier
	11/21/2013	
12	Tamine Performance Review, 6/4/2017	MLATSW_020987 to MLATSW_020995
13	Charitable Contributions List	N.D. Cal Dkt. No. 69-10
14	Email between Brockman and Tamine, 5/21/2011	ET_0001791710
15	Email between Brockman and Tamine, 6/4/2011	ET_0001791784
16	Letter from Tamine to Dr. Stuart Yudofsky, 6/5/2011	ET_0001694180 to ET_0001694185
17	Email from Brockman to Tamine, 8/13/2017	MLATSW_020952
18	Tamine Memo re: Frozen Accts, 7/29/2017	MLATSW_020907 to MLATSW_020911
19	Email from Brockman to Tamine, 6/12/2011	MLATSW_002276
20	Letter from Tamine to Brockman	ET_0001694884 to ET_0001694886
21	Email from Brockman to Tamine, 8/1/2015	MLATSW_015369
22	Email from Tamine to Brockman 8/21/2017	MLATSW_020978
23	Emails between Tamine and Brockman 7/26/2017	MLATSW_020867
24	Emails between Tamine and Brockman 6/20/2015	MLATSW_015263 to MLATSW_015265
25	Email from Tamine to Brockman 3/4/2014	MLATSW_006702
26	Email from Brockman to Tamine and Don Jones 7/23/2008	ET_0000015153
27	Email from Tamine to Yudofsky, 8/13/2015	ET_0002071945
28	Subpoena to Vista	Attached
29	Alaskan Trip Itinerary	SOL-0000584
30	MLAT Request, 11/8/2018	
31	Chain of Custody Documents	Attached
32	FTC Hearing Transcript, Vol. 1, 9/18/2019	FTC-0000001 to FTC-0000110
33	FTC Hearing Transcript, Vol. 2, 9/19/2019	FTC-0000111 to FTC-0000202
34	FTC Hearing Exhibits, Vol. 1	FTC-0000472 to FTC-0000486; FTC-0000297 to FTC-0000299; FTC-0000316 to FTC-0000317;

Exhibit No.	Description	Identifier
		FTC-0000487; FTC-0000300 to FTC-0000303; FTC-0000294 to FTC-0000296; FTC-0000304 to FTC-000305
35	FTC Hearing Exhibits, Vol. 2	FTC-0000292 to FTC-0000293; FTC-0000306 to FTC-0000311; FTC-0000432 to FTC-0000442; FTC-0000331 to FTC-0000356; FTC-0000357 to FTC-0000422; FTC-0000318 to FTC-0000330; FTC-0000423 to FTC-0000427; FTC-0000428 to FTC-0000431; FTC-0000312 to FTC-0000315; FTC-0000446 to FTC-0000469; FTC-0000443 to FTC-0000445; FTC-0000470 to FTC-0000471
36	Brockman Deposition Transcript, 1/16/2019	FTC-0000970 to FTC-0001048
37	Brockman Deposition Transcript, 1/17/2019	FTC-0001253 to FTC-0001354
38	Letter Report, Dr. Ryan Darby, 6/18/2021	DRD-0000009 to FTC-0000023
39	Darby Supplemental Report	
40	Examination Video, Dr. Ryan Darby	DRD-0000003 to DRD-0000005
41	Polysomnography Report (Sleep Study), 4/29/2021	MHH-0000001 to MHH-0000007
42	Titration Report (Sleep Study), 8/12/2021	MHH-0000008 to MHH-0000019
43	PET Scan Reports, Dr. Ryan Darby	
44	Email from Brockman to Bart Chandler, 6/27/2020	UCSH_0229197
45	Email from Brockman to Passmore, July 31, 2020	UCSH_0229391
46	Email from Brockman to Cyndi Slade	UCSH_0229417 to UCSH_0229418
47	Email from Brockman to Passmore,	UCSH_0229970

Exhibit No.	Description	Identifier
	10/10/2020	
48	Email from Brockman to Slade, 8/10/2020	UCSH_0229464 to UCSH_0229465
49	Email from Brockman re Alaska Itinerary, 8/27/2018	UCSH_0219578 to UCSH_0219579
50	Email from Brockman to Lerner, 9/6/2018	UCSH_0219722
51	Email exchange between Brockman and Moss, 7/30/2020	UCSH_0229389
52	DCS Board Resolution, 8/13/2020	UCSH_0229512 to UCSH_0229526
53	Memo from Brockman to Nalley, 5/10/2020	UCSH_0228641 to UCSH_0228644
54	Email exchange between Brockman and Arnett, 6/3/2020	UCSH_0228917 to UCSH_0228919
55	Email exchange between Brockman and Barras, 2/13/2020	UCSH_0227075 to UCSH_0227076
56	Email exchange between Brockman and Barras, 3/1/2020	UCSH_0227291 to UCSH_0227294
57	Email exchange between Brockman and Barras, 6/3/2020	UCSH_0228922
58	Brockman Deposition Video, Kellogg Hansen 1/16/2019	FTC-0001735, FTC-0001667, FTC-0001673,
59	Brockman Deposition Video, Kellogg Hansen 1/17/2019	FTC-0001786, FTC-0001785, FTC-0001873, FTC-0001872
60	Transcript, Deposition of Norman Barras, April 15, 2021	CAM-0000702 to CAM-0001081
61	Jones Day Privilege Log (Redacted)	
62	Email exchange between Brockman and Passmore, 9/25/2018	DPS-0011895 to DPS-0011897
63	Email from Brockman to Moss, 12/16/2018	UCSH 0221292
64	Email from Brockman to Burnett 4/1/2020	RB-00006827 to RB-00006829
65	Emails between Brockman and Burnett 5/6/2020	RB-00010023 to RB-00010024
66	Email from Barras to Burnett 10/1/2020	RB-00010321
67	Email from Brockman to Moss 10/14/2018	CM-00023194
68	Email from Brockman to Moss 1/2/2020	CM-00027155 to CM-27232

Exhibit No.	Description	Identifier
69	Email from Brockman to Moss 5/11/2020	CM-00028695
70	Email from Brockman to Moss 11/13/2020	CM-00029368
71	Email from Brockman to Moss, 10/21/2019	CM-00026581
72	Email from Brockman to Moss, 4/17/2020	CM-00028469
73	Email from Barras to Moss, 8/14/2020	CM-00016846
74	Memo from Brockman to Moss, 10/5/2020	CM-00029267
75	Email exchange between Barras and Moss, 10/20/2020	CM-00006180 to CM-00006181
76	Jones Day Privilege Log (Unredacted)	
77	Video, Brockman Reynolds & Reynolds Speech, 2019	UCSH 0212042
78	Declaration of Kathryn Keneally, 12/8/2020	N.D. Cal. Dkt. No. 64-1
79	Declaration of Peter Romatowski	N.D. Cal. Dkt. No. 64-3
80	Request for Medical Discovery, 11/19/2020	
81	Taxpayer Conference Letter 4/9/2020	
82	Taxpayer Conference Letter Exhibits	
83	Dr. Dietz Report	PDA-0000025 to PDA-0000069
84	Dr. Dietz Supp. Report	
85	Dr. James L. Pool, Examination Notes, 6/14/2021	RTBrockman_Medical_Re cords0005409
86	Dr. Ponisio 10/25/21 Report	
87	Tamine Immunity Agreement	
88	Dr. Dietz Second Supplemental Report	PDA-0000353 to PDA-0000372
89	Video of Guilmette Exam (July)	
90	Transcript of Guilmette Exam (July)	
91	Video of Agronin Exam (July)	
92	Transcript of Agronin Exam (July)	
93	Video of Dietz and Denney Exam (October)	
94	Transcript of Dietz and Denney Exam (October)	PDA-0000314 to PDA-0000352
95	Records of Dr. Scott Lisse	
96	Subpoena to Al Deaton	
97	9/18/16 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000352

Exhibit No.	Description	Identifier
98	9/19/16 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000035 0001
99	12/2/16 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000808
100	2/28/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000784
101	3/22/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000644
102	5/3/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000277
103	5/3/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000621
104	5/3/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000034 0001
105	5/18/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000617
106	5/20/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000616
107	5/23/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000614 UNREDACTED
108	10/11/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000033 0001
109	10/12/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000030 0001
110	10/12/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000032 0001
111	10/12/17 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000031 0001
112	5/26/18 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000564 UNREDACTED
113	1/20/19 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000024 0001
114	3/6/19 Email Exchange between Dr. Yudofsky and Defendant	YUDOFSKY-000040 0001
115	Email from Defendant to Passmore 10/9/19	UCSH 0225102
116	Dec. 2018 Reynolds & Reynolds Video	UCSH 0210542
117	Form 8275 Disclosure	
118	Letter from Charles Rettig to IRS, May 13, 2015	

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